CE Accreditation - A Graphic Overview

1. CE Program **CE Accreditation Service** submission impartial review of all learning **Education Provider** and instructional materials • the review and approval tool is 2. CE Accreditation based on a fully inclusive profile **Decision Report** of the competencies required by financial advisors and planners • the accreditation decision report **CE Certificate issued** 3. Participation details the specific practice to verify completion in CE activity competencies and/or practice of program behaviours addressed in a program **Financial Advisor/ Planner** 5. CE Certificate submitted during audit **Provincial Insurance CLU Designation Advocis Other Designations** Regulator(s) **Ongoing Membership CE Requirements CE Requirements Life Licence Requirements** Requirements

Financial advisors and planners are subject to a variety of CE requirements and as such are responsible for ensuring that CE credits taken will be appropriate for the individual regulatory and licensing bodies under which they are governed.

Auditing licensees and designation holders is the responsibility of each respective body. The CE Accreditation Decision Report provides detailed supporting documentation for a CE certificate and helps confirms the appropriateness of a program in meeting CE requirements.

